

APPROPRIATENESS TEST ON TRANSACTIONS WITH COMPLEX FINANCIAL INSTRUMENTS (FI) FOR LEGAL ENTITIES

Protecting investors AS IBS "Renesource Capital" will process personal data in the order to meet obligations prescribed by laws. Personal data processing purpose is to assess, by means of automated profiling:

- (a) appropriateness for you of complex FI/investment products,
- (b) your ability to understand risks associated with the complex FI/investment products,
- (c) possibility to assign to you Professional Client MIFID status;

Based on information stipulated in the "Appropriateness test on transactions with complex financial instruments (FI)" (**Appropriateness Test**).

Personal data controller: AS IBS "Renesource Capital".

Attention! AS IBS "Renesource Capital" does not evaluate whether non-complex FI or related investment services are appropriate for the Client, but ensures only Client's order execution; hence, the Client does not obtain relevant protection.

Non-complex financial instruments¹:

- Shares admitted to trading on a regulated market or on an equivalent third-country market or on a multilateral trading facility, where those are shares in companies, and excluding shares in non-UCITS collective investment undertakings and shares that embed a derivative;
- Bonds or other forms of securitised debt admitted to trading on a regular market or on an equivalent third country market or on a multilateral trading facility, excluding those that embed a derivative or incorporate a structure which makes it difficult for the client to understand the risk involved;
- Money market instruments, excluding those that embed a derivative or incorporate a structure which is difficult for the client to understand the risk involved;
- Shares or units in UCITS, excluding structured UCITS;
- Other non-complex financial instruments.

To check your financial literacy as well as check submitted answers to the appropriateness test, you are welcome to visit [F.A.Q.](#) Sections on our corporate homepage. This section will provide you with the correct answers on the appropriateness test questions, as well as you will be able to obtain information and materials, on financial instruments, order placement, terminology, risks and other.

Please complete Appropriateness test in capital letters, providing clear and accurate information.

I. Information about the Client

Name of the legal entity _____

Does the Company's Assets portfolio (monetary funds and financial instruments) exceeds 500 000 EUR? No Yes

Have you been granted the status of a Professional Client in any country? No Yes (please specify) _____

1. Please select one of the options below to describe your Company:

1.1. Authorized or regulated in the financial market of the Republic of Latvia or another country:

- | | |
|--|---|
| <input type="checkbox"/> credit institution | <input type="checkbox"/> investment brokerage firm |
| <input type="checkbox"/> other licensed or regulated financial institution | <input type="checkbox"/> investment fund or investment management company |
| <input type="checkbox"/> alternative investment fund or alternative investment fund manager | <input type="checkbox"/> insurer |
| <input type="checkbox"/> pension fund or pension fund manager | <input type="checkbox"/> commodity dealer |
| <input type="checkbox"/> a company that executes transactions on its own behalf in options, futures or derivative markets or in a derivative of underlying assets, the sole purpose of which is to limit the financial risk on the derivative market or at the expense of or on behalf of other market participants; and guaranteed by the members of the settlement system in that market, if the responsibility for the performance of the contracts entered into by such a company is borne by the participants in the settlement system in that market | |
| <input type="checkbox"/> other commercial company whose principal activity is investing in financial instruments and which makes such investments on a large scale | |

1.2. other commercial companies whose principal activity is investing in financial instruments, including those engaged in the securitization of financial assets or the financing of other transactions, and which make such investments on a large scale;

1.3. Your Company meets at least two of the following features: No Yes (please specify)

- | | |
|---|--|
| <input type="checkbox"/> equity – at least EUR 2 million EUR | <input type="checkbox"/> balance value – at least 20 million EUR |
| <input type="checkbox"/> net turnover – at least 40 million EUR | |

1.4. Does not comply with any of the above parameters

Attention! If you answered positively to any of the questions in 1.1.-1.2. or if you have been granted the status of a Professional Client, you do not have to complete the Appropriateness Test. Please sign the section "Client Confirmations and Signature".

Attention! If you answered positively to 1.3. you have the right to ask for the status of a Professional Client. Please continue completing the Appropriateness Test.

Attention! If you do not provide the information requested in this Appropriateness Test, or if it is incomplete or does not contain current information, AS IBS "Renesource Capital" will not be able to evaluate the conformity of the intended products and services to you. In this case, AS IBS "Renesource Capital" is not responsible for the consequences of your refusal to provide information, or for the provision of incomplete information, or failure to report changes to the information provided in the Appropriateness Test.

I refuse to provide information required for completing Appropriateness Test.

I agree to provide information required for completing Appropriateness test.

/Client signature and deciphering of the signature /

¹ For more detailed information on non-complex and complex information please visit AS IBS "Renesource Capital" home page www.rensource.com

II. Information about Decision Maker

1. Is the person signing this Appropriateness Test on behalf of the Client also a decision maker (i.e. a person that will submit orders for transactions with FI on behalf of the Client)? Yes No
1a. Personal Data of Decision Maker (fill in **1a**)

Full name (as stated in the passport/ID)

Personal identity number

Date of birth

III. Information about the Education and Profession of the Decision Maker

1. Level of education:

- Basic education Bachelor or equivalent PhD or equivalent
 Secondary education Master or equivalent

2. Is Current/Previous profession related to FI?

- No (go to Section IV) Yes (please continue)

3. Information on Current/Previous profession related to FI:

3.(a) Profession

- Financial analyst
 Front office broker
 FI portfolio or investment fund manager, investment strategist
 Investment service monitoring expert, compliance officer
 Other profession related to FI (*specify*)

Description of duties

FI market analysis, investment research developer etc.

Dealing with the FI in behalf of company or clients, provision of financial consultation etc.

Development and implementation of investment strategies, FI portfolio management, incl. investment decisions on FI making

Supervision of the investment services sector, inspections, internal audit

Duties by their essence corresponds to mention above

3.(a) Years of experience

- up to 1 year 1–3 years more than 3 years

3.(a) Name of organization, country

IV. Decision Maker Knowledge and Experience in Transactions with Complex Financial Instruments

Please provide answers under each chosen category of the financial instrument (FI)/ Product

FI /Transaction category:	Knowledge and Experience (self-assessment)	Investment experience (years)	Please choose one correct answer from the following statements:		
1. Shares (equities) ² ,	<p>Do you have knowledge and experience?</p> <input type="checkbox"/> Yes, I have been classified as a Professional client in EU/EEA. Institution: <input type="checkbox"/> Yes, I have knowledge and experience <input type="checkbox"/> No, I do not have knowledge and experience (<i>proceed to the next FI</i>)	<p>Experience:</p> <input type="checkbox"/> up to 1 year <input type="checkbox"/> 1–3 (including) <input type="checkbox"/> more than 3 years	<p>Choose a correct answer regarding simple equities:</p>		
	<p>Are you aware of transaction essence and risks related to this FI?</p> <input type="checkbox"/> Yes <input type="checkbox"/> No (<i>proceed to the next FI</i>)	<p>Average number of transactions (per year):</p> <input type="checkbox"/> less than 10 <input type="checkbox"/> 10-50 <input type="checkbox"/> 50 and more	<p>When purchasing shares, an investor:</p> <input type="checkbox"/> Equities are riskless financial instruments; they guarantee payment of dividends indicated in the prospectus. <input type="checkbox"/> Financial situation/standing of the issuer may affect the volume of the dividends and their payment fact. <input type="checkbox"/> Dividends are always distributed to shareholders at a previously (at the time of purchase) agreed amount and rate, not depending on the amount of shares an investor holds. <input type="checkbox"/> Prices on equities are constant and investor cannot suffer losses due to the price fluctuations, trading fees, regulatory fees, etc.	<p>Simple equities:</p> <input type="checkbox"/> Pays share price and does not cover any other costs. <input type="checkbox"/> Pays share price and once a year, pays the issuer for the right to be a shareholder. <input type="checkbox"/> Pays share price and, on a regular basis, covers safekeeping costs (if applicable), for holding securities in his FI account (custody/safekeeping fee).	<p>Simple equities:</p> <input type="checkbox"/> entitle investor to coupon income-generation. <input type="checkbox"/> entitle investor to tax reliefs. <input type="checkbox"/> provide investor with the rights to receive dividends.
2. Bonds ³	<p>Do you have knowledge and experience?</p> <input type="checkbox"/> Yes, I have been classified as a Professional client in EU/EEA. Institution: <input type="checkbox"/> Yes, I have knowledge and experience <input type="checkbox"/> No, I do not have knowledge and experience (<i>proceed to the next FI</i>)	<p>Experience:</p> <input type="checkbox"/> up to 1 year <input type="checkbox"/> 1–3 (including) <input type="checkbox"/> more than 3 years	<p>In case of bond issuer's bankruptcy, investor:</p>		
	<p>Are you aware of transaction essence and risks related to this FI?</p> <input type="checkbox"/> Yes <input type="checkbox"/> No (<i>proceed to the next FI</i>)	<p>Average number of transactions (per year):</p> <input type="checkbox"/> less than 10 <input type="checkbox"/> 10-50 <input type="checkbox"/> 50 and more	<p>Regular coupon-bearing bonds:</p> <input type="checkbox"/> is not entitled to receive accrued interest amount. <input type="checkbox"/> is not entitled to receive accrued interest amount as well as nominal value of the bond. <input type="checkbox"/> will always and certainly receive complete payment. <input type="checkbox"/> is not entitled to receive compensation from the state guarantees fund.	<p>Coupon payment, that remains unchanged from the bonds issue date until maturity of the bond is called:</p> <input type="checkbox"/> entitle investor to coupon income-generation. <input type="checkbox"/> entitle investor to tax reliefs. <input type="checkbox"/> entitle investor to receive dividends.	<p>Coupon payment, that remains unchanged from the bonds issue date until maturity of the bond is called:</p> <input type="checkbox"/> Zero coupon. <input type="checkbox"/> Fixed coupon. <input type="checkbox"/> Floating coupon.
3. Equities and debt instruments with included derivative	<p>Do you have knowledge and experience?</p> <input type="checkbox"/> Yes, I have been classified as a Professional client in EU/EEA. Institution: <input type="checkbox"/> Yes, I have knowledge and experience <input type="checkbox"/> No, I do not have knowledge and experience (<i>proceed to the next FI</i>)	<p>Experience:</p> <input type="checkbox"/> up to 1 year <input type="checkbox"/> 1–3 (including) <input type="checkbox"/> more than 3 years	<p>Convertible preferred shares, without voting rights:</p>		
	<p>Are you aware of transaction essence and risks related to this FI?</p> <input type="checkbox"/> Yes <input type="checkbox"/> No (<i>proceed to the next FI</i>)	<p>Average number of transactions (per year):</p> <input type="checkbox"/> less than 10 <input type="checkbox"/> 10-50 <input type="checkbox"/> 50 and more	<p>Bonds, that give its holder the right to sell them to the issuer prior to the final maturity date, are called:</p> <input type="checkbox"/> In the event of the issuer's liquidation, holders of preferred shares have the right to receive liquidation quota prior to other (common) shareholders. <input type="checkbox"/> Convertible preferred shares may be converted into ordinary shares. <input type="checkbox"/> Convertible preferred shares without voting rights give a shareholder no voting rights at the shareholders meeting. <input type="checkbox"/> All of the above is correct.	<p>If bond is linked to the performance of an underlying index, investor :</p> <input type="checkbox"/> Callable Bonds. <input type="checkbox"/> Convertible Bond. <input type="checkbox"/> Puttable Bond.	<p>If bond is linked to the performance of an underlying index, investor :</p> <input type="checkbox"/> Receives fixed coupon. <input type="checkbox"/> Does not receive coupon at all. <input type="checkbox"/> Receives floating coupon, linked to an index performance.

² that are traded on markets outside of the EU or equivalent emerging markets or Multilateral Trading facility (MTF) and which do not contain/include derivatives or structure, that encumbers understanding of risks.

<p>4. Structured equities and debt instruments, with a structure that encumbers understanding of risks</p>	<p>Do you have knowledge and experience? <input type="checkbox"/> Yes, I have been classified as a Professional client in EU/EEA. Institution: _____ <input type="checkbox"/> Yes, I have knowledge and experience <input type="checkbox"/> No, I do not have knowledge and experience (<i>proceed to the next FI</i>)</p> <p>Are you aware of transaction essence and risks related to this FI? <input type="checkbox"/> Yes <input type="checkbox"/> No (<i>proceed to the next FI</i>)</p>	<p>Experience: <input type="checkbox"/> up to 1 year <input type="checkbox"/> 1–3 (including) more than 3 years Average number of transactions (per year): <input type="checkbox"/> less than 10 <input type="checkbox"/> 10–50 <input type="checkbox"/> 50 and more</p> <p>Average volume of one transaction (EUR): <input type="checkbox"/> less than 100 000 <input type="checkbox"/> 100 000 – 200 000 <input type="checkbox"/> 200 000 u and more</p>	<p>When investing in high risk bonds (without leverage), Investor has the risk:</p> <p><input type="checkbox"/> to lose only part of the initial investment. <input type="checkbox"/> to lose significant part of the initial investment. <input type="checkbox"/> to lose all initial investment. <input type="checkbox"/> to lose more than initially invested.</p>	<p>If the repayment of the principal is linked to the performance of payment obligations by third party, then an investor:</p> <p><input type="checkbox"/> May lose the whole investment. <input type="checkbox"/> May only lose part of the investment. <input type="checkbox"/> Will not lose anything, because repayment shall occur in any case.</p>	<p>In the event of issuers bankruptcy holders of subordinated bonds are entitled to receive principal (nominal value) of the bond:</p> <p><input type="checkbox"/> Before other creditors. <input type="checkbox"/> After other creditors, but before shareholder's receive liquidation quota. <input type="checkbox"/> Are not entitled to receive anything in case of bankruptcy.</p>
<p>5. Options, Futures, Swaptions</p>	<p>Do you have knowledge and experience? <input type="checkbox"/> Yes, I have been classified as a Professional client in EU/EEA. Institution: _____ <input type="checkbox"/> Yes, I have knowledge and experience <input type="checkbox"/> No, I do not have knowledge and experience (<i>proceed to the next FI</i>)</p> <p>Are you aware of transaction essence and risks related to this FI? <input type="checkbox"/> Yes <input type="checkbox"/> No (<i>proceed to the next FI</i>)</p>	<p>Experience: <input type="checkbox"/> up to 1 year <input type="checkbox"/> 1–3 (including) more than 3 years Average number of transactions (per year): <input type="checkbox"/> less than 10 <input type="checkbox"/> 10–50 <input type="checkbox"/> 50 and more</p> <p>Average volume of one transaction (EUR): <input type="checkbox"/> less than 10 000 <input type="checkbox"/> 10 000 – 50 000 <input type="checkbox"/> 50 000 and more</p>	<p>By buying a Call option and paying the premium, the buyer of the option:</p> <p><input type="checkbox"/> Has bought the underlying assets, which will be delivered to the buyer without any extra payments in future. <input type="checkbox"/> Has an obligation to pay options strike price for an underlying asset at the pre-determined date. <input type="checkbox"/> Has received the right, but not an obligation to purchase the underlying asset at a strike price. <input type="checkbox"/> Has received the right to sell the options underlying asset to the options seller, for the price indicated in the option.</p>	<p>Trading options, risks are unlimited, when:</p> <p><input type="checkbox"/> buying Put options. <input type="checkbox"/> buying Call options. <input type="checkbox"/> selling naked Call options. <input type="checkbox"/> selling covered Put options.</p>	<p>Investor has bought futures contract; in this case:</p> <p><input type="checkbox"/> Underlying assets minor price fluctuations do not affect the margin collateral placed on FI account by investor. <input type="checkbox"/> Underlying assets minor price fluctuations may significantly affect the margin collateral placed on FI account by investor. <input type="checkbox"/> Only significant change in underlying assets price can affect the margin collateral placed on FI account by investor. <input type="checkbox"/> No maintenance margin is required to open futures position.</p>
<p>6. ETF, ETN, Alternative investment fund (AIF) shares³</p>	<p>Do you have knowledge and experience? <input type="checkbox"/> Yes, I have been classified as a Professional client in EU/EEA. Institution: _____ <input type="checkbox"/> Yes, I have knowledge and experience <input type="checkbox"/> No, I do not have knowledge and experience (<i>proceed to the next FI</i>)</p> <p>Are you aware of transaction essence and risks related to this FI? <input type="checkbox"/> Yes <input type="checkbox"/> No (<i>proceed to the next FI</i>)</p>	<p>Experience: <input type="checkbox"/> up to 1 year <input type="checkbox"/> 1–3 (including) more than 3 years Average number of transactions (per year): <input type="checkbox"/> less than 10 <input type="checkbox"/> 10–50 <input type="checkbox"/> 50 and more</p> <p>Average volume of one transaction (EUR): <input type="checkbox"/> less than 10 000 <input type="checkbox"/> 10 000 – 50 000 <input type="checkbox"/> 50 000 and more</p>	<p>Is there an obligation for a fund to buy back (redeem) fund's shares from an investor at the request of the investor?</p> <p><input type="checkbox"/> Yes, always. <input type="checkbox"/> Yes, if the fund is closed-end fund. <input type="checkbox"/> Yes, if fund is an open-end UCITS fund and in accordance with the conditions set in the fund's rules. <input type="checkbox"/> No.</p>	<p>What is an ETF (Exchange Traded Fund)?</p> <p><input type="checkbox"/> Same as ETN (Exchange Traded Note). <input type="checkbox"/> Non-secured debt issued by a fund <input type="checkbox"/> Secured debt issued by a fund <input type="checkbox"/> Exchange traded fund shares that might be linked to a performance of an index or an underlying asset.</p>	<p>What is an ETN (Exchange Traded Note)?</p> <p><input type="checkbox"/> Same as ETF (Exchange Traded Fund). <input type="checkbox"/> Secured debt issued by the fund. <input type="checkbox"/> Unsecured debt instruments, issued by the bank or other financial institution. <input type="checkbox"/> Exchange traded fund shares, which are linked to an index or an underlying asset.</p>
<p>7. Unregulated investment funds (non-UCITS) shares, AIF with margin financing, Closed-end funds</p>	<p>Do you have knowledge and experience? <input type="checkbox"/> Yes, I have been classified as a Professional client in EU/EEA. Institution: _____ <input type="checkbox"/> Yes, I have knowledge and experience <input type="checkbox"/> No, I do not have knowledge and experience (<i>proceed to the next FI</i>)</p> <p>Are you aware of transaction essence and risks related to this FI? <input type="checkbox"/> Yes <input type="checkbox"/> No (<i>proceed to the next FI</i>)</p>	<p>Experience: <input type="checkbox"/> up to 1 year <input type="checkbox"/> 1–3 (including) more than 3 years Average number of transactions (per year): <input type="checkbox"/> less than 10 <input type="checkbox"/> 10–50 <input type="checkbox"/> 50 and more</p> <p>Average volume of one transaction (EUR): <input type="checkbox"/> less than 10 000 <input type="checkbox"/> 10 000 – 50 000 <input type="checkbox"/> 50 000 and more</p>	<p>If the fund uses leverage, then:</p> <p><input type="checkbox"/> Bankruptcy risk of such fund is lower than the risk of bankruptcy of a fund not using leverage. <input type="checkbox"/> Risk of bankruptcy of such fund is the same, as the risk of bankruptcy of a fund not using leverage. <input type="checkbox"/> Risk of bankruptcy of such fund is higher, than the risk of bankruptcy of a fund not using leverage.</p>	<p>Please choose a correct answer:</p> <p><input type="checkbox"/> non-UCITS funds are always open-end funds. <input type="checkbox"/> non-UCITS funds, are always closed-end funds. <input type="checkbox"/> non-UCITS funds, can be either open-end or closed-end funds.</p>	<p>In your opinion, what fund has the lowest investment risk:</p> <p><input type="checkbox"/> Closed-end AIF fund that uses leverage. <input type="checkbox"/> Closed-end AIF real estate fund does not use leverage. <input type="checkbox"/> Open-end UCITS fund that is not using leverage.</p>

³ that are traded on an exchange or Multilateral Trading Facility (MTF)

<p>8. FX and Commodity SWAP contracts and FX and Commodity Forward trades</p>	<p>Do you have knowledge and experience? <input type="checkbox"/> Yes, I have been classified as a Professional client in EU/EEA. Institution: _____ <input type="checkbox"/> Yes, I have knowledge and experience <input type="checkbox"/> No, I do not have knowledge and experience (<i>proceed to the next FI</i>)</p> <p>Are you aware of transaction essence and risks related to this FI? <input type="checkbox"/> Yes <input type="checkbox"/> No (<i>proceed to the next FI</i>)</p>	<p>Experience: <input type="checkbox"/> up to 1 year <input type="checkbox"/> 1–3 (including) more than 3 years Average number of transactions (per year): <input type="checkbox"/> less than 10 <input type="checkbox"/> 10-50 <input type="checkbox"/> 50 and more Average volume of one transaction (EUR): <input type="checkbox"/> less than 100 000 <input type="checkbox"/> 100 000 – 200 000 <input type="checkbox"/> 200 000 and more</p>	<p>The settlement on a Forward usually occurs:</p> <input type="checkbox"/> On a future date. Parties agree the date when entering into Forward trade. The full forward amount shall be paid at that date. <input type="checkbox"/> On a trade date. The full forward amount shall be paid at a trade date. <input type="checkbox"/> On a trade date. The amount of the collateral shall be paid at a trade date. <input type="checkbox"/> None of the above.	<p>When entering into a SWAP deal:</p> <input type="checkbox"/> Parties make two mutual payments of full amount of the contract at the beginning and at the end of the contract. <input type="checkbox"/> Parties pay only the difference (for example, between stream of payments based on a fixed interest rate and a floating interest rate) at the end of the contract. <input type="checkbox"/> Parties do not make payments.	<p>Please chose a correct statement:</p> <input type="checkbox"/> Investor cannot suffer losses when making Forward trades. <input type="checkbox"/> SWAP trades could be the combination of TOM (TOD) and Forward trades. <input type="checkbox"/> Forward trades ensure the right to purchase asset in future.
<p>9. FOREX and CFD margin trading</p>	<p>Do you have knowledge and experience? <input type="checkbox"/> Yes, I have been classified as a Professional client in EU/EEA. Institution: _____ <input type="checkbox"/> Yes, I have knowledge and experience <input type="checkbox"/> No, I do not have knowledge and experience (<i>proceed to the next FI</i>)</p> <p>Are you aware of transaction essence and risks related to this FI? <input type="checkbox"/> Yes <input type="checkbox"/> No (<i>proceed to the next FI</i>)</p>	<p>Experience: <input type="checkbox"/> up to 1 year <input type="checkbox"/> 1–3 (including) more than 3 years Average number of transactions (per year): <input type="checkbox"/> less than 10 <input type="checkbox"/> 10-50 <input type="checkbox"/> 50 and more Average volume of one transaction (EUR): <input type="checkbox"/> less than 500 000 <input type="checkbox"/> 500 000 – 1 000 000 <input type="checkbox"/> 1 000 000 and more</p>	<p>What trades can an investor open, if the EUR/GBP quote is 0.8005/0.8007?</p> <input type="checkbox"/> Buy GBP at 0.8007 and/or sell GBP at 0.8005. <input type="checkbox"/> Buy EUR at 0.8007 and/or sell EUR at 0.8005. <input type="checkbox"/> Buy GBP at 0.8005 and/or sell GBP at 0.8007. <input type="checkbox"/> Buy EUR at 0.8005 and/or sell GBP at 0.8007.	<p>Investor opened a short FX position; losses will occur:</p> <input type="checkbox"/> If price falls. <input type="checkbox"/> If price rises. <input type="checkbox"/> Price volatility does not affect the financial result. <input type="checkbox"/> None of the above.	<p>Investor bought CFD on equity, investor:</p> <input type="checkbox"/> becomes an owner of the shares and can participate in corporate events. <input type="checkbox"/> does not become the owner of the shares, but may freely participate in corporate events. <input type="checkbox"/> corporate actions may influence the financial outcome of the trade <input type="checkbox"/> None of the above.
<p>10. Securities trading with financing (margin trading, REPOs)</p>	<p>Do you have knowledge and experience? <input type="checkbox"/> Yes, I have been classified as a Professional client in EU/EEA. Institution: _____ <input type="checkbox"/> Yes, I have knowledge and experience <input type="checkbox"/> No, I do not have knowledge and experience</p> <p>Are you aware of transaction essence and risks related to this FI? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Experience: <input type="checkbox"/> up to 1 year <input type="checkbox"/> 1–3 (including) more than 3 years Average number of transactions (per year): <input type="checkbox"/> less than 10 <input type="checkbox"/> 10-50 <input type="checkbox"/> 50 and more Average volume of one transaction (EUR): <input type="checkbox"/> less than 100 000 <input type="checkbox"/> 100 000 – 200 000 <input type="checkbox"/> 200 000 and more</p>	<p>Please indicate a false statement: Broker may request to close short positions:</p> <input type="checkbox"/> On occurrence of a margin call. <input type="checkbox"/> Owner of the securities requested that the securities borrowed for the short sale are to be returned. <input type="checkbox"/> Broker may not request to close and/or to close the positions in the event of a margin call. Only an investor may choose to close the short positions at investors sole discretion at any point in time.	<p>How will the profit/loss change on the trade (leverage rate is 1:2), if price changes by 10%?</p> <input type="checkbox"/> 10% <input type="checkbox"/> 20% <input type="checkbox"/> 40% <input type="checkbox"/> 100%	<p>The amount of losses in short selling:</p> <input type="checkbox"/> Is limited to the amount of proceeds received from a short sale. <input type="checkbox"/> Is limited to the collateral (margin) amount. <input type="checkbox"/> Is unlimited.

Client Signature and Confirmation

By signing this Appropriateness test and/or by submitting it to AS IBS "Renesource Capital" electronically or otherwise, I confirm that:

- The information contained above (including personal data) is accurate, true and correct. I will inform AS IBS "Renesource Capital" on any changes in information above immediately.
- I acquainted myself with Privacy Policy of AS IBS "Renesource Capital", which sets the principles for the processing of personal data and is available on (https://www.rensource.com/attachments/lv/Privatuma_politika_2018_versija%20majas%20lapai.pdf)
- I agree with Privacy Policy of AS IBS "Renesource Capital" and accept it.
- I lawfully received third person' personal data, which is mentioned in Appropriateness test (if any). I have the rights to pass such data to AS IBS "Renesource Capital". I am confirming that I informed this third person on data processing performed by AS IBS "Renesource Capital" and third persons agreed or otherwise allowed me to process personal data. I am confirming, that I have informed this third person about data processing principles used by AS IBS "Renesource Capital" and specified in Privacy Policy.

Name, Surname

Signature Date Place

Please send filled Appropriateness test to the AS IBS "Renesource Capital" email: trading@rensource.com; Please provide hard copy of filled Appropriateness test to the AS IBS "Renesource Capital", address: 15A Duntess street, Riga, LV-1005, Latvia.