



APPLICATION FOR ENTERING INTO AGREEMENT ON RENDERING SERVICES IN FINANCIAL AND CAPITAL MARKETS (FOR INDIVIDUAL CLIENTS)

AS IBS "RERESOURCE CAPITAL"
Reģistrācijas Nr. 40003415571
Licence Nr 06.06.04.114/118.2
Duntes iela 15A, 6. stāvs
Rīga, Latvija, LV-1005

This Application form has to be completed by all clients wishing to conclude the Contract on rendering services on the financial and capital market (**hereinafter – the Contract**) with AS IBS "Renesource Capital" (**hereinafter – the Company**) and open a financial instruments account (**hereinafter – FI Account**). Please fill in this Client Application form using clear, **block capital letters and mark the choice with "X", which is applicable to you.**

CLIENT DETAILS

Full name (as shown on passport / ID)

Personal identity number (only for LR residents)

Fill out, if there is no personal identity number (non residents of LR)

Date of birth

Passport series and No.

Date of issue

Country and Issuing Authority

Base Currency of Account (please, choose one)¹:

- USD EUR GBP CHF RUB AUD CAD
 JPY SEK NOK PLN TRY JPY HKD

REQUESTED INVESTMENT SERVICES

I would like to apply to open a FI account and Cash settlement account with the Company. I hereby request the Company to ensure me with the following investment services and non-core investment services:

BROKERAGE AND DEPOSITORY SERVICES FOREXCHANGE TRADED STOCKS, STOCK OPTIONS, ETF, ADR, GDR, BONDS ETC.²

North American Stock markets:

- Stock options⁵ USA Margin trading⁴ Canada REPO transactions³

Russia Stock markets:

- MICEX Margin trading⁴ RTS REPO transactions³

European Stock markets:

- Austria Belgium France Netherlands Germany Italy
 Norway Spain Sweden Switzerland Great Britain Finland
 Other
 Stock Options⁵ Margin trading⁴ REPO transactions³

International Stock markets:

- Australia India Japan Hong Kong
 Singapore South Korea Mexico South Africa
 Other
 Stock Options⁵ Margin trading⁴ REPO transactions³

OVER THE COUNTER TRANSACTIONS (OTC) WITH COMMODITIES, FIXED INCOME, STRUCTURED FI (FORWARDS, OPTIONS)⁶

- SWAP transactions

Investment account:

- Fixed income OTC Shares Structured FI
 REPO transactions³

MARGIN TRADING WITH DERIVATIVES⁷

FUTURES AND OPTIONS ON FUTURES⁸

North America:

- CBOE
- NYMEX
- CME
- NYSE LIFFE US
- Intercontinental Exchange US
- OneChicago
- CBOT
- Other

Russia:

- FORTS (Moscow Derivatives Exchange)
- Options on stock futures

Europe:

- Intercontinental Exchange (ICE/ IPE)
- EURONEXT
- LME
- Intercontinental Exchange (ICE EU SOFT)
- MEFF
- Other
- Intercontinental Exchange (ICE EU)
- MONEP

International Markets:

- Sydney Futures Exchange
- Singapore Exchange
- Hong Kong Futures Exchange
- Korea Stock Exchange
- National Stock Exchange of India
- Mexican Derivatives Exchange
- Osaka Securities Exchange
- Other

CONTRACTS FOR DIFFERENCE (CFD)⁹ CFD (on individual stocks) CFD (on commodities, stock market indices)

FOREIGN EXCHANGE (FOREX) AND PRECIOUS METALS (BULLION)¹⁰ FOREX Micro FOREX Classic FOREX Pro

ELECTRONIS TRADING PLATFORMS

Trading via Internet and additional electronic services (electronic trading platform –ETP)

- Yes, I wish to apply for Electronic trading platform (ETP)¹¹
- No, I do not wish to apply for ETP

Please specify ETP that you would like to use for FI transactions¹²

- MT4
- CURRENEX
- INTEGRAL
- TRADAIR
- WEB ICE
- REDIPLUS
- AXIS LITE
- CQG
- FIDESSA
- J-TRADER
- PRO-MARK
- QUIK
- TT X_TRADER
- FIX API

CLIENT'S ACKNOWLEDGEMENT

**Hereby I confirm that I have read the General Business Terms and Special Business Terms of the Contract on rendering services on the financial and capital market of the Company, consent thereto, and agree to be bound thereby
I agree to the processing of my personal data by AS IBS "Renesource Capital"**

SIGNATURE OF THE AUTHORIZED PERSON / SIGNATORY

Hereby I confirm that the information provided in this application form is true and correct. Should any changes occur to the information provided herein I shall immediately inform the Company thereof.

Name, surname _____ / _____ / _____
Signature Date Place

¹ In case you have not indicated base currency, as a base currency of your FI account will be accepted US Dollar.

²Part II of the Contract, Section B

³Part II of the Contract, Section B II

⁴Part II of the Contract, Section B III

⁵Part II of the Contract, Section B IV

⁶Part II of the Contract, Section C

⁷Part II of the Contract, Section D

⁸Part II of the Contract, Section D II

⁹Part II of the Contract, Section D III

¹⁰Part II of the Contract, Section D IV

¹¹ Trading on ETP is associated with a certain degree of financial loss risk. Increased losses probability is associated with the use of Internet in transactions with financial instruments through ETP, as well as with ETP functioning breaks, data transmission errors, unauthorized connection correctness of information transmitted, system and network routing including Internet malfunctions and failures. The Company does not control signal power, its reception or routing via Internet etc., thus the Company cannot be responsible for communication failures, distortions or delays when trading via Internet. Despite this, the Company provide risk management control and have approved business continuity policies and plans, as well as a contingency plan to minimize the system failure possibility and to ensure the appropriate back up and data recovery possibility. The Company ensure trading via telephone during business hours: <http://www.rensource.lv/lv/kontaktinformacija>

¹²ETP available for FI transactiona are divided by type of products /assets and ETP functionality. Depending on the type and functionality of ETP, an additional fee for the use of ETP may be withheld. More detailed information concerning ETP related costs as well as functionality of each ETP you can find on Company's website or in our Company office. The Client can choose to use a number of ETP.