

## CLIENT'S STATEMENT ON DECLARED ACCOUNTS

Before completing this document, please make sure that you are familiar with, read and understand all information about the account opening procedure at AS IBS Renesource Capital, including the Provisions of the Contract (Conditions of the services provisions), Price List and description of risks associated with financial instruments, and with other information relevant to the provision of services.

The processing of your personal data by the Company will be carried out in strict compliance with the requirements and regulations set forth in the Company's Privacy Policy and in the external legislation governing the processing and protection of personal data.

The information contained in this document is strictly confidential and will not be revealed by Company to any third person, with the exception of the persons authorized with the right of access to such information pursuant to acts of law.

**Please be informed that completion of this document does not guarantee opening of Accounts with the Company. The Company is entitled to refuse to open an Account and to conclude a Contract irrespective of the amount of information or documents provided.**

In case of uncertainties and questions related to the completion of this document, please contact the Company by phone +371 67 092 737 or by email : [newaccounts@renesource.com](mailto:newaccounts@renesource.com)

**Attention! Please complete all of the information in capital letters. ALL FIELDS ARE MADATORY.**

### TERMS AND ABBREVIATIONS USED IN THIS DOCUMENT

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**Accounts/ Account** - the Customer's accounts with the Company where transactions with the Client's Assets are held.

**Account NN** - a funds settlement account opened for the Customer in the Company and intended for settlement of transactions with FI. The NN account has the same number as the FI account.

**Assets** - financial instruments (FI) and funds.

**Client** - a natural person who wishes to provide the Company with investment services and / or ancillary investment services and which has submitted to the Company the documents and information required by the Company for entering into the Agreement with the Company but not yet signed Agreement (in other words, a potential customer), as well as a natural person who has already entered into a Contract with the Company.

**Company** - AS IBS Renesource Capital.

**Contract** - a contract on the provision of investments in the financial and capital market, which is concluded between the Company and the Client, and within the framework of which services are provided to the Client.

**Declared Accounts** - an account opened for the Client by a credit institution or a licensed payment institution in EU and which the Client has notified to the Company. Company will use for crediting / withdrawing Client's funds to / from the Client's Accounts only Declared Account (s), which the Client stated in the "Client's Statement for Declared Accounts". The Company is entitled not to recognize the Customer's reported accounts as the Declared Accounts if they do not comply with the Company's regulations.

**FI Account** - the Client FI account with the Company. The Company opens different FI accounts for accounting of different FIs.

**Financial Instruments (FI)** - financial instruments subject to the Law on Financial Instruments Market of the Republic of Latvia.

**Moneyval** (Committee of Experts on the Evaluation of Anti-Money Laundering Measures and the Financing of Terrorism) - Committee of Experts of the Council of Europe, whose task is to spread European countries on international standards set out in the fight against money laundering and financing of terrorism. More information is available at: <https://www.coe.int/en/web/moneyval>

**Price List** - Company's price list for commission fees and other payments for services rendered by the Company and available on the Company's website: <https://www.renesource.com/en/account-management/commission-fees/account-maintenance>;

**Statement** - this "Client's Statement on Declared Accounts".

**Third Party (-ies)**- the Company unidentified legal or natural person to whom the Company does not open the Accounts. The third person is not considered a natural or legal person who has been identified and for which the Company is to open the Accounts.

**Undeclared Account** - an account opened for the Client by a credit institution or a licensed payment institution and which the Client has NOT notified to the Company or which the Company does not recognize as a Declared Account.

**Unique Client Code (UCC)** - a code assigned by the Company to the Client for the Client identification in the Company's internal accounting system.

## 1. CLIENT'S PASSPORT DATA

Full name (as shown on passport / ID)

Personal identity number (if available)

Date and place of birth (if there is no personal ID number)

## 2. DETAILS OF CLIENT'S DECLARED ACCOUNTS

**I hereby notify the Company of the following accounts which, in the legal relationship between the Client and the Company, will be treated as Declared Accounts:**

1. Name of the payment institution/ bank: \_\_\_\_\_  
Address of the payment institution/bank : \_\_\_\_\_  
Account number/ IBAN: \_\_\_\_\_  
Account holder`s name: \_\_\_\_\_  
SWIFT code: \_\_\_\_\_ BIC: \_\_\_\_\_

2. Name of the payment institution/ bank: \_\_\_\_\_  
Address of the payment institution/bank : \_\_\_\_\_  
Account number/ IBAN: \_\_\_\_\_  
Account holder`s name: \_\_\_\_\_  
SWIFT code: \_\_\_\_\_ BIC: \_\_\_\_\_

Please double check and make sure that you have provided the correct Declared Accounts details. Particular attention will be welcome to give account details for writing according to your bank / custodian bank payment systems transliteration rules (for example, pursuant to the Russian banking system's practices in drawing up the Russian ruble payment orders in Russian with Latin letters).

The name of the Account Holder must match the name of the Owner of the Declared Accounts, so please make sure that the name of the Account Holder specified in this Statement coincides with the name of the Account that is or will be opened in the Company.

Incorrect and / or inaccurate specification of Declared Account details may complicate or lead to the refusal of the execution of an order, which may be related to the interpretation of the details of the correspondent or beneficiary bank.

Please note that for future incoming and outgoing payments the Company will use only the Declared Accounts details and the payment information specified in the "Client's Statement on Declared Accounts".

## 3. INFORMATION ON THE GENERAL PROVISIONS FOR PAYMENT OPERATIONS

Accounts may be funded by transfer ONLY from the Declared Accounts.

The Company does not accept transfers to the Accounts from Third Parties and such payments will be returned to its original sender by deducting a fee according to the the Price List.

The Company does not transfer funds from the Client Accounts to Third Party accounts in banks or payment institutions.

The Company has the right to request additional information on the basis of funds transfers, as well as it has the right to refuse to execute any order type of Assets transfer to another Client of the Company.

Please note that the Company does not accept any type of Asset transfer before the Company has completed the process of Client diligence and acceptance, that is confirmed by the Account Opening Confirmation, in which will be specified the Client's assigned UCC and bank details for their use for money transfers. Any Assets transferred prior to the Account opening being approved, will be returned to the sender deducting the fees in accordance with the Price List.

In case of money transfers, the Client's UCC and Account number must be specified in the payment instructions.

Money transfers made by the Company are not considered as payment services within the meaning of the Law "Payment Services and Electronic Money Law" of the Republic of Latvia.

Please note that the transfer of Assets or credit of the accounts are subject to a fee (compensation) in accordance with the Price List.

Transfer speed and the amount of the fee (commission) depends on your selected transfer type (economic or express payment). By default all payments are processed by the Company as standard and can take up to 3 business days (including).

The fastest form of transfer to / from the Account is an Express payment executed on the day of submission of the order, if the order is submitted within the time period specified in the Price List. Planning your financial transactions, please note that the execution of currency payments depends on holidays in Latvia or in countries whose currency transfer is made. Orders sent on weekends are accepted for execution on the next business day.

Please note that the Company does not control the speed of Funds transfers, i.e. the part of the process, which is outside the Company's influence area and because of this delays can happen. If money has not been credited to your Account within 3 (three) business days, please contact the Company's brokerage department(trading@renesource.com) to find out the reason for the delay.

#### 4. INFORMATION RECEIVING LANGUAGE AND ACCESS TO THE INTERNET

The Company is not obliged to translate the Company's documents (policies, internal procedures, announcements on the Company's management and regulation of activities, etc.) and make them publicly available in other languages. The Company shall, to the extent possible, do so voluntarily, or in certain cases where the Client may request it at the Client's expense in accordance with the Price List.

The Company provides investment services providing high corporate value, professional conduct and ethical standards in customer service, providing customer support in English, Russian and Latvian. The Company's main operational language is Latvian, so some of the Company's documents may not be available in other languages.

**Please select language of the investment service / information receiving (several available):**

- Latvian                       English                       Russian

**Do you have access to the Internet?**

- Yes                               No

#### 5. CONFIRMATIONS AND STATEMENTS

**The Company will send to the Client transaction confirmations and Account statements via e-mail :**

E-mail address \_\_\_\_\_

**Statements periodicity:**

- Upon request                       every business day (if Client has open positions and / or unsettled transactions funding, withdrawals, assets under custody, fund distribution, securities and money transfers)

#### 6. CLIENT'S CONFIRMATIONS AND SIGNATURE

I hereby certify and confirm with my signature that:

- The information provided in present document is true and complete. I undertake to immediately inform the Company about any changes in the information provided in present document.
- I understand and agree that the unfilled information required in this document is deemed to be negative answer or absence of relevant data.
- I have legally acquired the personal data contained in this document and which is not my personal data and that I have the right to disclose this personal data to Company for the rendering of services. I acknowledge and warrant that I have informed the parties about the processing of personal data by Company and they have agreed or otherwise authorized the processing of such data. I certify that I have informed these persons about the principles and rights of Company in personal data processing.

Full name, as shown on passport / ID

<input type="text"/>	<input type="text"/>	<input type="text"/>
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Signature

Date

Place