



Client Confirmations, Declarations and Consents

PARTIES:

Client

Company

AS IBS Renesource Capital reg. No. 40003415571, Duntē Street 15A, Riga, LV-1005

CONTRACT:

Contract on rendering services on the financial and capital market

CONFIRMATIONS (mark with ☑):

- I. **(A) I confirm** that I am informed about my rights to receive the key information document and the address of web page as well as the place on web page, where the key information documents about services and products rendered by the Company are available;
- (B) I confirm** that I have read the following documents and information available on the Company's website:
- Description of the risks associated with investments and FIs;*
 - Risk characterization of financial instruments and associated transactions;*
 - Pricelist;*
 - Order Execution Policy and Order Execution Venues / Destinations List;*
 - MIFID status assignment and investor protection policy;*
 - Policy on prevention of the Conflict of Interest;*
 - Information about the Company as an investment service provider;*
 - Description of the risks associated with FIs holding;*
 - Information on costs associated with FIs transactions, including pre-contractual reports on commission expenses*
- and that I understand them as well as agree with their content, I acknowledge all these documents to be binding to me as an integral part of the Contract. The above-mentioned documents have been available to me in advance and before signing the application for entering into the Contract. I am aware of the Company's right to unilaterally amend the above-mentioned documents and undertake to familiarize myself with the current versions of the above-mentioned documents on the Company's website before entering in each Order and / or Transaction.
- II **I certify** that the source of FIs and funds in my opened Client's account with the Company is legal and I will not use the Company's services for any illegal purposes, including:
- Money laundering;*
 - Terrorist and proliferation financing;*
 - Direct or indirect violation or circumvention of sanctions or restrictions imposed by the Republic of Latvia or international authorities;*
 - Market Abuse;*
 - Any kind of fraudulent or corrupt activity or promotion of these activities.*
- III **I confirm** that the Company has informed me that all telephone conversations and other communications between the Company and me are recorded, as well on my rights to request and receive such recordings for reasonable remuneration settled by the Company within 5 (five) years since recording date.
- IV **I confirm** that I will not conduct transactions with FIs issued abroad if I do not command English language at a level to understand the description of basic information about FIs intended for such investors.

CONSENTS (mark with ☒):

Personal data protection

- I agree with the Company's Privacy Policy and confirm that I am aware that the Company will process personal data of natural persons.
- I agree that the Company during the provision of the Contract related services is entitled to transfer my personal data to persons involved at rendering the provision of services (including to FI issuers) that are located not only outside Latvia, but also outside the EU.

Means of communication and the receipt of information

- I agree to receive information addressed to all clients of the Company on the Company's website, as well as I confirm that I have access to the internet.
- I agree to receive key information documents for packaged retail and insurance-based investment products (PRIIPs) and key Investments documents (KID) of the Company and products serviced by the Company and certify, that I have a sufficient knowledge of English to understand information set out in KID;

Order Execution

- I agree that the Company is entitled to execute my orders in FIs admitted to trading on a regulated market, an MTF or an OTF, outside of a regulated market, trading systems and organized trading systems;
- I agree that the Company is entitled to execute my orders in FIs admitted to trading in the European Economic Area outside of the European Economic Area;
- I agree that if my limit order is not executed immediately, the Company is entitled not to publish the outstanding order.

Holding and use of financial instruments

- I agree, that for the purpose of holding FIs issued abroad, the Company may use the services of an Intermediary registered abroad, and transfer the FIs belonging to me to be held along with FIs owned by other clients;
- I agree that the Company is entitled to allow the Intermediary to hold FIs owned by the Company's clients in one account with other FIs owned by other Intermediary's clients;
- I agree that the Company is entitled to hold FIs owned by me at the Intermediaries outside of the EEA;
- I agree and understand that FIs held with Intermediaries outside the Republic of Latvia, as well as the conditions for holding FIs, are subject to foreign laws and regulations that may significantly differ from those of the Republic of Latvia, that may also adversely affect my as investor's interests;
- I agree, that while holding the FIs issued abroad, the Company is entitled to use the FI and funds owned by me, incl. enter into agreement with Intermediaries, that establishes (or allows to establish) collateral interests, encumbrances (pledge rights, financial pledge rights), set-off rights, asset sales rights for my funds and FI, if:
 - the establishment of the said rights applies to the Intermediary right of claim, that arises from the provision of the services foreseen in the Contract concluded with me (in particular taking into account that multiple Company's Clients funds and/or financial instruments can be held in one account with the Intermediary);
 - the establishment of the said right is not related to my debt or to the provision of the services foreseen in the Contract concluded with me, but the laws and regulations applicable to the activity of the Intermediary foresee the establishment of such type of interests, encumbrances (pledge rights, financial pledge rights) or set-off rights related to collateral
- I agree that the Company establishes a financial pledge on all present and future assets (funds and financial instruments) on my accounts with the Company and is entitled to use these assets in accordance with the Contract.
- I agree with the aforementioned risks of holding and using FI, and I accept the risks associated with them, and I also confirm that I understand the aforementioned risks.

Client: _____

signature, name and surname

signature date and place